



# Medical Coverage Policy

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## Cardiac Resynchronization Therapy (CRT) and Advanced Cardiac Pacing Technologies

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## Overview

This Coverage Policy addresses the use of a biventricular pacemaker (alone or combined with an implantable cardioverter defibrillator [ICD]) for cardiac resynchronization therapy (CRT), triple-site or triventricular pacing CRT, wireless pacing CRT, and conduction system pacing.

## Coverage Policy

**The use of a biventricular pacemaker alone or in combination with an implantable cardioverter defibrillator (ICD)\* for cardiac resynchronization therapy (CRT) is considered medically necessary for ANY of the following indications when the individual has been on an optimal pharmacologic regimen before consideration of implantation:**

- **Ischemic cardiomyopathy, left ventricular ejection fraction (LVEF)  $\leq$  35%, no prior implant, sinus rhythm (SR) for ANY of the following:**
  - QRS 120-149 milliseconds (ms), left bundle branch block (LBBB), New York Heart Association (NYHA) Class I, II, III-IV
  - QRS  $\geq$  150 ms, LBBB, NYHA Class I, II, III-IV
  - QRS 120-149 ms, non-LBBB, NYHA Class III-IV
  - QRS  $\geq$  150 ms, non-LBBB, NYHA Class II, III-IV
- **Nonischemic cardiomyopathy, LVEF  $\leq$  30%, no prior implant, SR for ANY of the following:**
  - QRS 120-149 ms, LBBB, NYHA Class II, III-IV
  - QRS  $\geq$  150 ms, LBBB, NYHA Class I, II, III-IV
  - QRS 120-149 ms, non-LBBB, NYHA Class III-IV
  - QRS  $\geq$  150 ms, non-LBBB, NYHA Class I, II, III-IV
- **Nonischemic cardiomyopathy, LVEF 31-35%, no prior implant, SR for ANY of the following:**
  - QRS 120-149 ms, LBBB, NYHA Class I, II, III-IV
  - QRS  $\geq$  150 ms, LBBB, NYHA Class I, II, III-IV
  - QRS 120-149 ms, non-LBBB, NYHA Class III-IV
  - QRS  $\geq$  150 ms, non-LBBB, NYHA Class I, II, III-IV
- **LVEF  $>$  35% of any etiology, ICD indicated, no prior implant, SR, QRS  $\geq$  150 ms, LBBB, NYHA Class III-IV**
- **LVEF  $\leq$  35% of any etiology, NYHA Class IV on intravenous inotropic support, no prior implant for EITHER of the following:**

- QRS 120-149 ms, LBBB
- QRS  $\geq$  150 ms, LBBB or non-LBBB
- **Pre-existing or anticipated right ventricular (RV) pacing with a clinical indication for ICD or pacemaker implantation, intrinsic narrow QRS for EITHER of the following:**
  - RV pacing anticipated  $\leq$  40%, LVEF  $\leq$  35%, NYHA Class III-IV
  - RV pacing anticipated  $>$  40%, NYHA Class I, II, III-IV
- **Refractory NYHA Class III/IV heart failure  $<$  3 months post revascularization and/or  $\leq$  40 days post-myocardial infarction (MI) and ALL of the following:**
  - LVEF  $\leq$  35%
  - QRS  $>$  120 ms
  - LBBB or non-LBBB
  - no other indication for ventricular pacing

**\*Note: Please reference Cigna Medical Coverage policy "Cardioverter-Defibrillator Devices" for conditions of coverage of an ICD device.**

**Replacement of a biventricular pacemaker generator alone or in combination with an implantable cardioverter defibrillator and/or leads is considered medically necessary.**

**The use of a biventricular pacemaker alone or combined with an implantable cardioverter defibrillator for CRT for any other indication is considered not medically necessary.**

**Each of the following is considered experimental, investigational or unproven for any indication:**

- triple-site or triventricular pacing CRT
- wireless pacing CRT
- conduction system pacing (i.e., His bundle pacing [HBP]; left bundle branch pacing [LBBP])

## Health Equity Considerations

Health equity is the highest level of health for all people; health inequity is the avoidable difference in health status or distribution of health resources due to the social conditions in which people are born, grow, live, work, and age.

Social determinants of health are the conditions in the environment that affect a wide range of health, functioning, and quality of life outcomes and risks. Examples include safe housing, transportation and neighborhoods; racism, discrimination and violence; education, job opportunities and income; access to nutritious foods and physical activity opportunities; access to clean air and water; and language and literacy skills.

## General Background

### Heart Failure

Congestive heart failure (CHF), or heart failure (HF), is a serious medical condition in which the heart does not pump blood as efficiently as it should. Approximately one-third of people with heart failure will also develop an arrhythmia (irregular heartbeat) which can cause the contraction of the heart's two lower chambers (ventricles) to become uncoordinated (ventricular dyssynchrony). Dyssynchrony is evidenced by a wide QRS interval seen on electrocardiogram (ECG). Ventricular dyssynchrony can worsen the heart's ability to pump effectively and exacerbate heart failure symptoms. It is also associated with an increased risk of serious illness and death.

The most frequently used measure of heart function is the left ventricular ejection fraction (LVEF). Normal LVEF ranges from 50–75% at rest. Severe heart failure can reduce LVEF to < 35%. Treatment for heart failure includes medications, which may include a combination of diuretics, digoxin, angiotensin-converting enzyme (ACE) inhibitors, angiotensin receptor blockers (ARB), beta-blockers, and aldosterone antagonists. Some patients may remain symptomatic despite drug therapy. The definitive therapy for end-stage heart failure patients is heart transplantation.

The New York Heart Association (NYHA) classification of heart failure is a four-tier system that categorizes patients based on a subjective impression of the degree of functional compromise. The chart below defines the four NYHA functional classes. Advanced heart failure is categorized as NYHA Class III and Class IV (Colucci, 2022).

Class I	Patients with cardiac disease but without resulting limitation of physical activity; ordinary physical activity does not cause undue fatigue, palpitation, dyspnea or anginal pain; symptoms only occur on severe exertion
Class II	Patients with cardiac disease resulting in slight limitation of physical activity; they are comfortable at rest; ordinary physical activity results in fatigue, palpitation, dyspnea or anginal pain
Class III	Patients with cardiac disease resulting in marked limitation of physical activity; they are comfortable at rest; less than ordinary activity (e.g., mild exertion) causes fatigue, palpitation, dyspnea or anginal pain
Class IV	Patients with cardiac disease resulting in inability to carry on any physical activity without discomfort; symptoms of cardiac insufficiency or anginal syndrome is present at rest; if any physical activity is undertaken, discomfort is increased

### **Cardiac Resynchronization Therapy (CRT)**

Despite the combination of various therapies for heart failure, some patients remain symptomatic. Of the various non-drug approaches, biventricular pacing or cardiac resynchronization therapy (CRT) has gained interest since its introduction in the early 1990s. CRT is the term applied to reestablishing coordinated contraction between the left ventricular free wall and the ventricular septum in an attempt to improve left ventricular efficiency and, subsequently, to improve NYHA functional class. Generally, CRT has been used to describe biventricular pacing, but cardiac resynchronization can be achieved by left ventricular pacing only in some patients. Selected patients with mild to severe heart failure may benefit from CRT. Combined with stable optimal medical therapy, CRT may help the ventricles beat together and improve the heart's ability to supply blood and oxygen to the body.

An implantable biventricular pacemaker is an advanced version of a standard implantable pacemaker. The biventricular pacemaker is implanted in the muscle tissue of the chest, below the collarbone, or in the abdomen. Three leads or wires, (one atrial lead and two ventricular leads), are transvenously connected from the pacemaker to the heart. The pacing leads are typically

placed in the right atrium, the right ventricle, and the coronary sinus, which results in stimulation of the left ventricle. In a small percentage of cases, it may not be possible to place the left ventricular lead transvenously. In such situations, some centers are opting for an epicardial approach if the transvenous approach is unsuccessful. The pacemaker sends out electrical impulses to the heart through the leads. Placement of a biventricular pacemaker can usually be accomplished in an outpatient setting under sedation or general anesthesia. A short inpatient stay may be required for epicardial left ventricular lead placement. Once the pacemaker is implanted, it is programmed so that both ventricles are stimulated to contract after atrial contraction, with the goals of improving left ventricle (LV) function, reducing presystolic mitral regurgitation, and improving LV diastolic filling time.

The benefits of CRT need to be weighed against the risks of the procedure, along with the adverse effects of having a CRT device implanted long term. The reported risks of the procedure are uncommon but some events may be serious, such as pericardial effusion with tamponade or coronary dissection. Minor reported adverse events such as lead dislodgement are more common and may result in repeat procedures.

### **CRT plus Implantable Cardioverter Defibrillator (ICD) System (CRT-D)**

Some individuals with heart failure are also at high risk for life-threatening heart rhythms, including ventricular tachycardia and ventricular fibrillation. Patients with heart failure who are at high risk for ventricular tachycardia and ventricular fibrillation may require a CRT system that includes implantable cardioverter defibrillator (ICD) therapy. The CRT plus ICD system (CRT-D) is designed to help the right and left ventricles beat at the same time in a normal sequence. Additionally, should an individual experience an episode of ventricular tachycardia or ventricular fibrillation, the CRT-D system will detect the life-threatening arrhythmia and automatically correct the heart's rhythm.

CRT-D may be considered for people who fulfill the criteria for implantation of a CRT-pacing (CRT-P) device and who also separately fulfill the criteria for the use of an ICD device. Clinical indications for ICD devices are discussed in further detail in Cigna Medical Coverage policy "Cardioverter-Defibrillator Devices".

### **Device Replacement**

When a biventricular pacemaker nears the end of its battery life, it is replaced; the expected lifespan of a biventricular pacemaker pulse generator varies among manufacturers. In addition, leads may become dislodged or fracture and require replacement.

### **U.S. Food and Drug Administration (FDA)**

Multiple biventricular pacemakers have been approved by the U.S. Food and Drug Administration (FDA) through the Premarket Approval (PMA) process for biventricular pacing alone (CRT-P) or biventricular pacing and defibrillation (CRT-D). CRT-P and CRT-D devices are FDA Class III devices, with associated product codes NKE and NIK, respectively. Manufacturers of biventricular devices include Medtronic (Mounds View, MN), Guidant Corp. (St. Paul, MN), and ELA Medical, Inc. (Plymouth, MN).

The FDA device approval notifications and manufacturer labels include the following contraindications to CRT-P and CRT-D devices:

- Asynchronous pacing is contraindicated in the presence or likelihood of competitive paced and intrinsic rhythms.
- Unipolar pacing is contraindicated in individuals with an ICD because it may cause unwanted delivery or inhibition of defibrillator or ICD therapy.

- CRT-D devices are contraindicated for patients whose ventricular tachyarrhythmias may have transient or reversible causes and for patients with incessant ventricular tachycardia or ventricular fibrillation.
- CRT-D devices are contraindicated for dual chamber atrial pacing in patients with chronic refractory atrial tachyarrhythmias (FDA, 2014).

## Literature Review

**CRT in NYHA Class III and IV:** Evidence in the published peer-reviewed literature, including randomized controlled trials, meta-analyses and systematic reviews, indicates that cardiac resynchronization therapy is effective at improving quality of life, patient functional capacity and heart failure symptoms in a subgroup of patients with heart failure, with or without ICD indications, decreased cardiac function and ventricular dyssynchrony who are on optimal pharmacologic regimen before implantation. The following benchmark large-scale trials included primarily NYHA Class III and IV patients with a wide QRS complex: Multisite STimulation In Cardiomyopathies (MUSTIC); Multicenter InSync Randomized Clinical Evaluation (MIRACLE); Multicenter InSync ICD Randomized Clinical Evaluation (MIRACLE ICD); Contak CD; Cardiac Resynchronization — Heart Failure (CARE-HF); and Comparison of Medical Therapy, Pacing, and Defibrillation in Heart Failure (COMPANION). (Deng, et al., 2015; Cleland, et al., 2009; Upadhyay, et al., 2008; Auricchio, et al., 2007; McAlister, et al., 2007a; Lindenfeld, et al., 2007; Delnoy, et al., 2007; Sutton, et al., 2006; Gasparini, et al., 2006; Cleland, et al., 2005; Molhoek, et al., 2005; Doshi, et al., 2005; Molhoek, et al., 2004; Bristow, et al., 2004; Garrigue, et al., 2003; Higgins, et al., 2003; Abraham, et al., 2002; Leclercq, et al., 2002; Leon, et al., 2002).

**CRT in NYHA Class I and II:** The majority of newer research in CRT is to evaluate whether the benefits of CRT extend to patients with mild or less severe heart failure (NYHA Class I/II). While lower morbidity and reduction or alleviation of symptoms are the goals of CRT in advanced heart failure, preventing heart failure progression is the primary objective for CRT in NYHA Classes I and II. The role of CRT in patients with mild or less severe heart failure is less established. Four key randomized controlled trials have been published in the peer-reviewed literature: Resynchronization–Defibrillation for Ambulatory Heart Failure Trial (RAFT), Resynchronization Reverses Remodeling in Systolic Left Ventricular Dysfunction (REVERSE), Multicenter InSync ICD Randomized Clinical Evaluation II (MIRACLE ICD II) and Multicenter Automatic Defibrillator Implantation Trial with Cardiac Resynchronization Therapy (MADIT-CRT). These trials enrolled 4,414 patients which included patients with NYHA Class I or II heart failure, at least 25 patients per treatment group, and reported on at least one relevant health outcome with follow-up ranging from six months to 2.4 years (Tang, et al., 2010; Moss, et al., 2009; Linde, et al., 2008, Abraham, et al., 2004).

Evidence in the published peer-reviewed literature, including randomized controlled trials and a meta-analysis (Al-Majed, et al., 2011), indicates that there is a consistent benefit for CRT in reducing hospitalizations for a subgroup of patients with mild heart failure (NYHA Class I or II) and in improving echocardiographic parameters. Data indicates that biventricular resynchronization therapy may not demonstrate a benefit on quality of life, functional status, or progression to more advanced stages of heart failure. The evidence on mortality differs among the available studies. Of the two largest studies, MADIT-CRT and RAFT, one reported a mortality difference while the other did not. The RAFT trial had patients with more severe illness, a higher baseline death rate, and a longer follow-up period concluding that CRT is likely to improve mortality for patients with NYHA class II heart failure. A subanalysis of the RAFT study found that women in particular benefitted from CRT-D, and had a significantly reduced incidence of death and heart failure hospitalization as compared to men ( $p < 0.001$ ) (de Waard, et al., 2019). Robust evidence to support biventricular resynchronization therapy in patients with asymptomatic left ventricular dysfunction or NYHA Class I symptoms is inconclusive resulting in the inability to draw strong conclusions regarding the impact on health outcomes (Santangeli, et al., 2011; Al-Majed, et al., 2011; Adabag, et al., 2011;

Zareba, et al., 2011; Versteeg, et al., 2011; Pouleur, et al., 2011; Solomon, et al., 2010; Tang, et al., 2010; Moss, et al., 2009; Linde, et al., 2008; Abraham, et al., 2004).

**Patient Selection Criteria:** Biventricular pacing is an established method of CRT, and is most effective for individuals experiencing heart failure with reduced ejection fraction (EF), left bundle branch block (LBBB), and a wide QRS. Pacing is also supported in individuals with a low EF receiving a new or replacement device and who require  $\geq 40\%$  ventricular pacing. However, approximately 30-50% of patients do not improve with biventricular pacing due to anatomical variances, a narrow QRS, non-LBBB presentation, or other factors (Sharma and Vijayaraman, 2021). Since some patients do not respond favorably after undergoing CRT, studies addressing optimal patient selection criteria for CRT are ongoing.

**QRS Duration:** Some patients with narrower QRS complexes have echocardiographic evidence of left ventricular mechanical dyssynchrony and may also benefit from CRT. Results of published trials are insufficient at this time to demonstrate that the use of CRT in heart failure patients with a narrow QRS complex (i.e.,  $< 120$  ms) benefits patient outcomes.

The Evaluation of Resynchronization Therapy for Heart Failure (LESSER-EARTH) trial was a randomized, double-blind, 12-center study that was designed to compare the effects of active and inactive cardiac resynchronization therapy in patients with severe left ventricular dysfunction and a QRS duration  $< 120$  ms (Thibault, et al., 2013). The trial was interrupted prematurely by the Data Safety and Monitoring Board because of futility and safety concerns after 85 patients were randomized. The authors reported that in patients with a LVEF  $\leq 35\%$ , symptoms of heart failure, and a QRS duration  $< 120$  ms, CRT did not improve clinical outcomes or left ventricular remodeling and was associated with potential harm (Thibault, et al., 2013).

Stavrakis et al. (2012) conducted a meta-analysis of randomized clinical trials to evaluate the impact of QRS duration on the efficacy of CRT. Only trials that reported subgroup data according to QRS duration were included. Five trials involving 6501 patients (4437 with QRS  $\geq 150$  ms and 2064 with QRS  $< 150$  ms) were included. Three trials, enrolling patients with mild to moderate HF, compared CRT-implantable cardioverter defibrillator with CRT, whereas CRT versus medical therapy was compared in the other two trials, which included patients with advanced HF. In patients with intrinsic QRS duration  $\geq 150$  ms, pooled analysis of the five trials revealed a significant 42% reduction in the incidence of the of the primary endpoint of death or hospitalization for HF with the use of CRT compared to control (HR = 0.58, 95% CI: 0.50-0.68;  $p < 0.00001$ ), but not in patients with QRS  $< 150$  ms (HR = 0.95, 95% CI: 0.83-1.10;  $p = 0.51$ ). These results were consistent across all degrees of HF severity. In patients with intrinsic QRS duration  $< 150$  ms, pooled analysis of the five trials showed no significant benefit from CRT (with or without ICD) compared to control (HR = 0.95, 95% CI: 0.83-1.10;  $p = 0.51$ ). The lack of benefit was consistent between the two subgroups based on the severity of heart failure.

Sipahi et al. (2011) conducted a meta-analysis of published randomized controlled trials that evaluated whether patients with modest prolongation of the QRS complex benefited from CRT. This study identified five trials enrolling a total of 5813 patients that reported on outcomes stratified by QRS duration. There was some variability in the definition of QRS categories, but the authors were able to categorize studies into those with moderately prolonged QRS, generally 120-149 ms, and severely prolonged QRS, generally  $\geq 150$  ms. For patients with a moderately prolonged QRS, there was no significant benefit for CRT in reducing composite outcomes of adverse cardiac events (Risk ratio [RR]: 0.95, 95% CI: 0.82 to 1.10,  $p = 0.49$ ). In contrast, for patients with a severely prolonged QRS, there was a 40% relative reduction in the composite outcomes (RR: 0.60, 95% CI: 0.53 to 0.67,  $p < 0.001$ ). Multiple limitations to these findings were reported including use of summary versus individual data in the meta-analysis; use of heterogeneous enrollment criteria by the five included trials with variable composite outcome

measures; unknown morphology of the QRS complex in participants with a QRS duration less than 150 ms; and unknown percentages of study participants with RBBB (right bundle branch block). The authors reported that further analysis of individual subject-specific data from all relevant clinical trials can further refine the QRS cutoffs for different types of conduction abnormalities.

In a prospective randomized clinical trial, Beshai et al. (2007) enrolled 172 patients who had a standard indication for an ICD. Patients received a CRT-D device and were randomly assigned to the CRT group or to a control group (no CRT) for six months. The primary end point was the proportion of patients with an increase in peak oxygen consumption of at least 1.0 ml per kilogram of body weight per minute during cardiopulmonary exercise testing at six months. At six months, the CRT group and the control group did not differ significantly in the proportion of patients with the primary end point (46% and 41%, respectively). In a pre-specified subgroup with a QRS interval of  $\geq 120$  ms, the peak oxygen consumption increased in the CRT group ( $p=0.02$ ), but it was unchanged in a subgroup with a QRS interval of  $\leq 120$  ms ( $p=0.45$ ). There were 24 heart failure events requiring intravenous therapy in 14 patients in the CRT group (16.1%) and 41 events in 19 patients in the control group (22.3%), but the difference was not significant. The authors reported that CRT did not improve peak oxygen consumption in patients with moderate-to-severe heart failure, providing evidence that patients with heart failure and narrow QRS intervals may not benefit from CRT.

In a prospective pilot study, Bleeker et al. (2006a) studied the effects of CRT in heart failure patients with narrow QRS complex ( $<120$  ms) and evidence of LV dyssynchrony on tissue Doppler imaging (TDI). The study participants included a total of 33 consecutive patients with narrow QRS complex and 33 consecutive patients with wide QRS complex (control group). Patient inclusion criteria included: LV dyssynchrony  $\geq 65$  ms on TDI, NYHA functional Class III/IV heart failure, and LVEF  $\leq 35\%$ . Baseline characteristics, particularly LV dyssynchrony, were comparable between patients with narrow and wide QRS complex ( $p=NS$ ). No significant relationship was observed between baseline QRS duration and LV dyssynchrony ( $p=NS$ ). The improvement in clinical symptoms and LV reverse remodeling was comparable between patients with narrow and wide QRS complex (mean NYHA functional class reduction 0.9 versus 1.1;  $p=NS$ ) and mean LV end-systolic volume reduction 39 versus 44 ml ( $p=NS$ ). The authors reported that, "CRT appears to be beneficial in patients with narrow QRS complex and severe LV dyssynchrony on TDI, with similar improvement in symptoms and comparable LV reverse remodeling. These effects need confirmation in studies with larger populations." The authors noted that color-coded TDI measures the velocity of the myocardium, which may not always equal active myocardial contraction. Large, comparative studies are needed to define which technique is most accurate in the assessment of LV dyssynchrony.

**QRS morphology:** In a retrospective study, Dupont et al. (2012) evaluated the relative impact of QRS morphology and duration in echocardiographic responses to CRT and clinical outcomes. Baseline characteristics, clinical and echocardiographic response, and outcomes of all patients who received CRT at a single center were evaluated. Patients were stratified into four groups according to their baseline QRS morphology and QRS duration. A total of 496 patients were included in the study; 216 (43.5%) had LBBB and a QRS  $\geq 150$  ms, 85 (17.1%) had LBBB and QRS  $< 150$  ms, 92 (18.5%) had non-LBBB and a QRS  $\geq 150$  ms, and 103 (20.8%) had non-LBBB and QRS  $< 150$  ms. Echocardiographic response (change in ejection fraction) was better in patients with LBBB and QRS  $\geq 150$  than in those with LBBB and QRS  $< 150$  ms, non-LBBB and QRS  $\geq 150$ , and non-LBBB and QRS  $> 150$  ms ( $p<0.0001$ ). In a multivariate stepwise model with change in ejection fraction as the dependent variable, the presented classification was the most important independent variable ( $p=0.0003$ ). Long-term survival was better in LBBB patients with QRS  $\geq 150$  ( $p=0.02$ ), but this difference was not significant after adjustment for other baseline characteristics ( $p=0.15$ ) suggesting that comorbid conditions may confound the treatment responses. The authors stated



that “due to the lack of sufficiently powered trials in these subgroups, guideline committees have the difficult task of using this and similar studies to refine patient selection for CRT”.

In a meta-analysis, Sipah et al. (2012) evaluated the effect of CRT on clinical events (including death and heart failure hospitalizations) with regards to bundle branch block morphologies. Four randomized controlled trials totaling 5356 patients met the inclusion criteria. The authors reported that in patients with a LBBB, CRT was very effective in reducing adverse events with a relative risk reduction of 36% ( $p=0.00001$ ). However, no benefit was observed in patients with other types of conduction abnormalities and a QRS duration > 120 milliseconds.

**Atrial Fibrillation:** The use of CRT in atrial fibrillation (AF) is a growing area of study. Evidence in the published peer-reviewed literature is limited, but generally supportive, of CRT in individuals with AF with reduced ejection fraction on guideline-directed medical therapy, when criteria for CRT are otherwise met, and atrioventricular nodal ablation or pharmacological rate control will allow near 100% ventricular pacing with CRT (Heidenreich, et al., 2022; Steinberg, et al., 2022; Brignole, et al., 2021).

### **Other Cardiac Resynchronization and Pacing Technologies**

To further evaluate potential solutions for CRT “nonresponders”, studies have investigated alternative lead placement strategies, including triple-site (triventricular), conduction system, and wireless pacing.

#### **Triple-site CRT (Triventricular Pacing)**

Triple-site cardiac resynchronization or triventricular pacing involves the addition of another ventricular pacing lead. The typical triventricular configuration involves implanting the right ventricular and atrial leads as in conventional CRT, with the third ventricular lead joined in parallel with a Y-connector and connected to the left ventricular port of the CRT system. An alternate approach is using two RV leads and one LV lead.

Triventricular pacing has been proposed as an alternative approach to improve the response rate in CRT recipients. It has been suggested that failure of response to biventricular pacing is probably due to a combination of factors including placement of the pacing lead over a zone of slow conduction, the presence of scar within the left ventricle, variable electrical response of the diseased ventricle to pacing, or suboptimal positioning of the pacing leads with regard to the area of latest contraction (Rogers, et al., 2012).

**Literature Review:** Elliott et al. (2022) conducted a meta-analysis of six randomized controlled trials (RCTs;  $n=415$  subjects) to evaluate the efficacy of multi-lead pacing compared to conventional biventricular CRT. Multi-lead pacing with two left ventricular (LV) leads and one right ventricular (RV) lead was utilized in four studies; one study used two RV leads and one LV lead; and one study used both configurations. Included were RCTs comparing standard biventricular CRT and multi-lead pacing CRT with follow up  $\geq$  three months. Observational studies, nonrandomized studies, case reports, narrative reviews, and studies with only acute hemodynamic data were excluded. Outcome measures included echocardiographic outcomes; symptomatic outcomes using the six-minute walk test (6MWT), the Minnesota Living with Heart Failure Questionnaire (MLWHF) and New York Heart Association (NYHA) class; and mortality. Analysis demonstrated a statistically significant improvement in MLWHF score for multi-lead pacing versus conventional CRT ( $p=0.05$ ), however the difference was not significant when only those patients receiving LV-only multi-lead pacing were included ( $p=0.25$ ). There were no statistically significant differences between the groups in the remaining outcomes. The authors noted significant disadvantages to multi-lead pacing, including technical challenges, higher rates of battery depletion, and prolonged procedure times. Limitations of the meta-analysis included heterogeneity

among the included studies in terms of inclusion criteria, study design, outcome reporting, and lead configuration. There was also a consistent underrepresentation of women across the included studies. The authors concluded the findings did not support the use of multi-lead pacing for CRT, although there may be a potential benefit to select patients (e.g., in atrial fibrillation or ischemic cardiomyopathy). Further RCTs to evaluate multi-lead pacing CRT in these subgroups are needed.

Gould et al. (2022) conducted the STRIVE HF (Standard care vs. TRIVentricular pacing in Heart Failure; n=95) randomized controlled trial to evaluate whether triventricular (TriV) pacing was feasible and superior to standard BiV pacing in heart failure patients with left bundle branch block (LBBB) with a moderately prolonged QRS duration (120–150 ms). Subjects in the TriV group underwent placement of two left ventricular (LV) leads and one right ventricular (RV) lead which were connected to a TriV device (Paradym TriV CRT-D) via an internal parallel Y-port. Subjects in the BiV group underwent placement of a quadripolar LV lead and CRT-defibrillator (CRT-D) device. The devices in both groups were programmed similarly. The study included patients with LBBB, QRS duration 120-150 ms, and age  $\geq$  18 years. Pregnant persons were excluded from the study. The primary outcome measure was the feasibility of TriV pacing. Other outcomes included reverse remodeling; N-terminal (NT)-pro hormone BNP (NTpro-BNP) value; six-minute walk test (6MWT); device shock therapy; quality of life scores; hospitalizations; adverse events; and mortality. Follow up occurred after six months. Successful device implantation occurred in 91.3% of subjects in the TriV group, and 95.9% of subjects in the BiV group. Pacing was maintained in 90% of the TriV subjects and 97.7% of the BiV subjects. Both groups showed a significant increase in left ventricular ejection fraction (LVEF) (TriV  $p=0.018$ , BiV  $p=0.007$ ), and reduction in left ventricular end diastolic volume ( $p<0.001$  each). Procedure time was significantly longer in the TriV group compared to the BiV group ( $192.6 \pm 107.6$  vs  $133.9 \pm 50.9$  min, respectively;  $p<0.001$ ). Mean LV pacing thresholds at implant were significantly higher in the TriV group versus the BiV group ( $1.3 \pm 0.5$  vs  $1.0 \pm 0.5$  V, respectively;  $p=0.004$ ). Battery longevity was significantly lower in the TriV group ( $5.5 \pm 2.3$  vs  $8.6 \pm 2.7$  years, respectively;  $p<0.001$ ). There were no significant between-group differences in the remaining outcomes. TriV pacing was deactivated in six patients after the study period due to threshold rises. There was one lead displacement reported in each group, and there was a limited coronary sinus dissection in the TriV group. Six deaths occurred during the study: two in the TriV group and four in the BiV group (one of which was thought to be procedure-related). The authors concluded that there was insufficient evidence to demonstrate that TriV pacing improved CRT response or provided any clinical benefit to patients with LBBB and intermediate QRS prolongation. Limitations of the study included short duration of follow up, unblinding of patients and investigators during follow up, lack of power calculation, and differences in leads used.

Zhang et al. (2017) conducted a meta-analysis of randomized controlled trials (RCTs) and comparative observational studies (n=251) comparing the benefits of triple-site ventricular (Tri-V pacing) versus Bi-V pacing on the left ventricular (LV) remodeling, quality of life, and exercise capacity in patients with heart failure (HF). The meta-analysis included one RCT, two randomized crossover studies, and two nonrandomized comparative studies. Two different pacing modalities were used. One type used one lead in the right ventricle and leads in two different tributaries in the left ventricle. The other used two leads in the right ventricle. Patients in the triple-site pacing group had greater improvement in LVEF ( $p<0.001$ ) and NYHA classes ( $p=0.001$ ) compared with the control group. There were no significant differences in left ventricular geometry, six-minute walk distance, or Minnesota Living With Heart Failure Questionnaire score between the two groups. The subgroup analyses showed there might be a greater improvement in LVEF in the Tri-V pacing group in patients with QRS duration  $\geq$  155 ms ( $p<0.001$ ). The studies were limited by small sample size, short-term follow-up and lack of randomization. No study in this meta-analysis had power to assess the benefits of Tri-V pacing in terms of mortality, mobility, or other clinical outcomes.

There is a paucity of evidence in the peer-reviewed literature supporting the long-term safety and efficacy of triple-site resynchronization, compared to conventional biventricular pacing.

### **CRT with Wireless Left Ventricle Endocardial Pacing**

The WiSE Cardiac Resynchronization Therapy System® (ebrSystems©, Sunnyvale, CA) is a wireless left ventricle (LV) pacing system that works with a conventional pacemaker and/or defibrillator for individuals in whom CRT is indicated. The WiSE CRT System is proposed to be used for patients who have failed conventional CRT or are not candidates for coronary sinus lead placement. The WiSE CRT system eliminates the need for a LV pacing wire in the coronary sinus. An ultrasonic transmitter attached to a battery unit and a tiny wireless receiver, measuring 10 x 2.6 millimeters (mm) acts as a pacing electrode. The transmitter is implanted in a left intercostal space, and the electrode is inserted into the LV via a retrograde aortic approach in a catheter-based procedure. After pacing-sensing mapping of the LV for site selection, the electrode is attached to the endocardial surface with a fixation barb. Sensing of RV pacing output from the conventional pacemaker device triggers ultrasonic energy transmission to the LV electrode from the transmitter, which stimulates synchronous contraction of the LV. The system allows the provider to customize electrode placement to the optimal location for pacing, which varies among patients; this differs significantly from conventional LV pacing leads, which are limited by coronary sinus anatomy.

**U.S. Food and Drug Administration (FDA):** The WiSE CRT System is approved by the FDA Investigational Device Exemption (IDE) approval process. On September 10, 2019, the manufacturer announced that the FDA had granted the WiSE CRT system breakthrough device designation status for the treatment of heart failure. A U.S. regulatory filing for the device has not yet been submitted. The U.S. pivotal Stimulation Of the Left Ventricle Endocardially (SOLVE) CRT study began in January 2018 and is ongoing.

**Literature Review:** There have been a limited number of studies published in the peer-reviewed literature addressing the use of this technology. The studies are primarily nonrandomized, have small patient populations, short term follow up, and lack a formal comparator group (Cang, et al., 2022; Wijesuriya, et al., 2022; Okabe, et al., 2021; Sidhu, et al., 2021; Sieniewicz, et al., 2020; Sidhu, et al., 2020; Singh, et al., 2019; Reddy, et al., 2017; Gamble, et al., 2018; Auricchio, et al., 2014). There is a lack of published randomized controlled trials evaluating CRT with wireless LV endocardial pacing. Clinical trials are ongoing.

### **Conduction System Pacing in Cardiac Resynchronization Therapy (CRT)**

In conventional biventricular pacing, the pacing leads are placed in the right ventricle and in the coronary sinus (a large vein on the posterior surface of the heart) which then paces the left ventricle, causing the ventricles to beat synchronously. Conventional biventricular CRT is an established treatment for heart failure patients with low ejection fraction and ventricular dyssynchrony, and is effective in the majority of patients. However, around 30% of patients have a suboptimal response or do not respond to biventricular pacing CRT. This may be due to the patient's anatomy, atypical conduction disease, and/or coronary sinus lead dislodgement. Alternative strategies to achieve resynchronization have been studied, including conduction system pacing (CSP). Conduction system pacing is a technique of pacing that involves implanting permanent pacing leads at different sites along the cardiac conduction system, and includes His bundle pacing and left bundle branch pacing. These techniques are proposed to engage the intrinsic cardiac conduction system, with the intent to mimic the native ventricular activation sequence.

**His Bundle Pacing (HBP):** HBP was initially performed using standard pacing leads by reshaping the stylet or using a deflectable stylet to precisely position the lead at a site near the electrophysiology mapping catheter demonstrating the largest His deflection. This approach was

technically challenging and time consuming. Case reports and review articles report that HBP may be an alternative approach in an attempt to achieve cardiac resynchronization in technically challenging cases where the standard endovascular approach via the coronary sinus is not possible. However HBP has been associated with atrial oversensing, higher capture thresholds, and increased risk for lead complications requiring revision (Lewis, et al., 2019; Vijayaraman, et al., 2019; Vijayaraman, 2018).

**Left Bundle Branch Pacing (LBBP):** Similar to His bundle pacing, LBBP (or left bundle branch area pacing [LBBAP]) is another conduction system pacing strategy being investigated as an alternative to conventional lead placement in CRT. LBBP is a more recent approach which involves placing the pacing lead deep in the interventricular septum at the LBB region to capture the LV septum or proximal left conduction system. The premise is this placement will bypass the pathological or disease-vulnerable region in the conduction system such as the atrioventricular (AV) node or the His bundle, where AV block and bundle branch block likely occur (Chen, et al., 2019; Vijayaraman, et al., 2019). The limitations and complications of LBBP are reportedly similar to HBP; notably, cases of lead dislodgement and interventricular septal perforation have been reported in the literature.

**U.S. Food and Drug Administration (FDA):** In June 2018, the FDA granted Premarket Approval (PMA) to expand the indication for use of the Medtronic SelectSecure 3830 lead, to include pacing at the bundle of His.

In October 2022, the FDA approval for the SelectSecure 3830 lead was expanded to include pacing at the left bundle branch area as an alternative to right ventricular pacing.

**Literature Review:** There is a paucity of large randomized controlled clinical trials or comparative studies in the peer-reviewed literature assessing the impact of CRT with His bundle pacing or left bundle branch pacing on long-term health outcomes, compared to conventional biventricular pacing with traditional coronary sinus or epicardial LV leads. Evidence is primarily in the form of case studies, small case series, retrospective studies, and noncomparative observational feasibility studies, with limited follow up. Long-term efficacy and safety data are lacking (Wang, et al., 2022; Gui, et al., 2022; Tan, et al., 2021; Wu, et al., 2021; Vijayaraman, et al., 2021; Zweerink, et al., 2021; Huang, et al., 2020; Huang, et al., 2019; Boczar, et al., 2019, 2018; Vijayaraman, et al., 2019; Sharma, et al., 2018, 2017; Vijayaraman, 2018; Bhatt, et al., 2017; Huang, et al., 2017; Teng, et al., 2016; Lustgarten, et al., 2015).

Whinnett et al. (2023) conducted a randomized crossover trial to evaluate the effects of atrioventricular (AV) optimized His pacing CRT in select patients with heart failure. The study, conducted in the United Kingdom, included 167 participants (90% male; 82% White). The study inclusion criteria were symptomatic heart failure and left ventricular ejection fraction (LVEF)  $\leq$  40%; PR interval  $\geq$  200 milliseconds (ms), and either narrow QRS ( $\leq$  140 ms) or right bundle branch block (RBBB, of any QRS duration). Excluded from the study were individuals with permanent or persistent atrial fibrillation; or paroxysmal AF with fewer than six months of maintained sinus rhythm. Participants were randomized to the "pacing" or "no pacing" groups. His bundle pacing was attempted in all patients, with either selective or non-selective capture accepted. When His bundle capture was not possible, an LV lead was placed. Patients also received an RA lead and a third lead which was either an RV defibrillator lead (where indicated) or an LV coronary sinus lead (used for backup pacing in the "no pacing" period, and/or as an alternative pacing lead if the His lead failed). After six months, subjects crossed over to the opposite treatment group. Twenty five (15%) participants were lost to follow up. The primary outcome measure was peak oxygen uptake on cardiopulmonary exercise testing. Other outcome measures included quality of life; LV dimensions; LVEF; plasma BNP; and incidence of ventricular arrhythmias. The authors found that His bundle pacing did not increase peak exercise oxygen

consumption (+0.25 ml/kg/min, 95% confidence interval [CI] -0.23 to 0.73, p=0.3). The Minnesota Living with Heart Failure Questionnaire (MLHFQ) score improved significantly (-3.7, 95% CI -7.1 to -0.3, p=0.03) although the generic quality of life score (EQ-5D VAS) did not show a statistically significant improvement (+1.9, 95% CI -1.6 to 5.5, p=0.28). Left ventricular dimensions, LVEF, plasma BNP and incidence of ventricular arrhythmias did not change significantly with His bundle pacing. There were 19 heart failure admissions in each period/group (pacing and no pacing), and a total of 11 deaths were reported (six with pacing on and five with pacing off). The authors noted the study was not powered to detect a difference in these endpoints. Further limitations included the underrepresentation of non-male and non-White participants; limited treatment time and follow up; and crossover design (i.e., lack of separate control group).

Wang et al. (2022) conducted a randomized controlled pilot trial (n=40) comparing the efficacy of left bundle branch pacing (LBBP)-CRT with biventricular pacing (BiVP)-CRT in patients with heart failure. Subjects were randomized 1:1 to either the LBBP group or the BiVP (control) group. During the LBBP procedure, a Select Secure pacing lead was placed on the right side of the interventricular septum into the LV septal subendocardium, until LBB capture was confirmed. Subjects in the BiVP group underwent standard biventricular CRT lead placement. The study inclusion criteria were age 18 to 80 years; sinus rhythm; nonischemic cardiomyopathy; complete LBBB; and New York Heart Association (NYHA) functional class II-IV. Excluded from the study were persons with ischemic cardiomyopathy; non-LBBB QRS; persistent atrial fibrillation; or who were pregnant. The mean age was 63.7 years, and 50% of subjects were female. The primary outcome measure was change in left ventricular ejection fraction (LVEF). Other endpoints included changes in echocardiographic measurements; N-terminal pro-B-type natriuretic peptide (NT-proBNP) value; NYHA functional class; 6-minute walk distance (6MWD); paced QRSd; and echocardiographic response to CRT. Follow ups were completed at three and six months. Four subjects in the BiVP group crossed over to the LBBP group, and two LBBP subjects crossed over to the BiVP group. The LBBP group had a significantly higher LVEF improvement at six months post-procedure, compared to the BiVP group (mean difference: 5.6%; 95% CI: 0.3-10.9; p=0.039). The remaining outcomes were comparable between the groups. One subject in the LBBP group had dislodgement of the coronary sinus LV lead two days post-procedure; one subject in the BiVP group experienced a pneumothorax. No revisions, hospitalizations, or deaths were reported. Limitations of the study included the small sample size; short duration of follow up; and relatively wide confidence intervals. The study was also limited to subjects with nonischemic cardiomyopathy and LBBB, which makes it difficult to generalize findings. The authors noted that the sample size was only designed to detect the difference in the change of LVEF, but not the other measures. Additional large randomized controlled trials with longer duration of follow up are needed to effectively compare left bundle branch pacing CRT with biventricular pacing CRT.

Chen et al. (2022) conducted a multicenter prospective nonrandomized observational study (n=100) to evaluate the feasibility and efficacy of CRT with left bundle branch pacing (LBBP-CRT) compared with optimized biventricular pacing with adaptive algorithm (BVP-aCRT) in patients with heart failure. Subjects were divided into each group per physician and patient choice. Left bundle branch pacing was performed on the LBBP-CRT group with pacing lead placement deep in the interventricular septum. The LBBP lead was programmed as pacing only or pacing prior to LV or RV lead if AV block occurred due to intraprocedural RBB injury. The LBBP lead was programmed back to pacing only once RBB injury resolved during follow up. In the BVP-aCRT group, pacing leads were placed in the RV apex and coronary sinus. Post-procedure, the devices in the BVP-aCRT group underwent adaptive optimization algorithm programming (aCRT; available on select devices). The study inclusion criteria were: symptomatic heart failure with NYHA class II-IV; LVEF  $\leq$  35%; sinus rhythm; QRS duration (QRSd)  $\geq$  150 ms; typical LBBB; age > 18 years; life expectancy > 1 year. Excluded from the study were persons with P-R interval > 200 ms, persistent atrial fibrillation, or intraventricular conduction defect. Outcome measures included

implantation success rate; QRSd; pacing threshold; LVEF; echocardiographic measurements; NYHA class; and complications. Follow ups occurred at six and 12 months. The implant success rate for LBBP and BVP was 98.00% and 91.07%, respectively. Five subjects in the BVP-aCRT group crossed over to the LBBP-CRT group, and one subject in the LBBP-CRT group crossed over to the BVP-aCRT group. A significant reduction in QRSd was observed in both groups ( $p < 0.001$ ), with LBBP achieving the greatest reduced QRSd compared to BVP-aCRT ( $126.54 \pm 11.67$  ms vs  $102.61 \pm 9.66$  ms, respectively;  $p < 0.001$ ). Pacing threshold at implantation was lower in the LBBP-CRT group than the BVP-aCRT group ( $0.92 \pm 0.20$  V/0.5ms vs  $1.45 \pm 0.39$  V/0.5ms, respectively;  $p < 0.001$ ). The LBBP-CRT group had a significantly higher LVEF at six-month follow up compared to the BVP-aCRT group ( $47.58 \pm 12.02\%$  vs  $41.24 \pm 10.56\%$ , respectively;  $p = 0.008$ ). CRT with LBBP demonstrated a greater improvement in absolute LVEF at six and 12 months, compared to BVP-aCRT ( $18.52 \pm 13.19\%$  vs  $12.89 \pm 9.73\%$ , respectively;  $p = 0.020$ ; and  $20.90 \pm 11.80\%$  vs  $15.20 \pm 9.98\%$ , respectively;  $p = 0.015$ ). There was no significant difference between the groups overall in response rate, however higher super-response rate (a > 20% improvement and a normalization of LVEF [ $> 50\%$ ]) was seen in the LBBP-CRT group as compared to the BVP-aCRT group at six months ( $53.06\%$  vs  $36.59\%$ , respectively;  $p = 0.016$ ) and 12 months ( $61.22\%$  vs  $39.22\%$ , respectively;  $p = 0.028$ ). Both groups demonstrated significant improvement in clinical heart function when evaluating the percentage of NYHA classification III–IV subjects, at follow up as compared to the subjects' baseline (all  $p < 0.05$ ); the percentage was significantly lower in the LBBP-CRT group compared to the BVP-aCRT group at 12 months ( $4.08\%$  vs  $19.61\%$ , respectively;  $p = 0.028$ ). Both groups has a significant decrease in echocardiographic measurements (all  $p < 0.05$ ). Right bundle branch injury occurred during lead placement in 10 (20%) of LBBP-CRT subjects. Dislodgement of the LV lead occurred in one BVP-aCRT subject. Two subjects in the LBBP-CRT group and five subjects in BVP-aCRT group reported a heart failure hospitalization (no significant between-group difference). Author-noted limitations of the study included a relatively small cohort, non-randomized group allocation with risk of considerable selection bias, and overrepresentation of subjects with dilated cardiomyopathy, thus limiting the generalizability of results to persons with heart failure with atypical LBBB and other etiologies. Additionally, the comparator was BVP CRT utilizing an adaptive optimization algorithm, rather than conventional biventricular pacing, which may further limit the generalizability of the study results.

Vinther et al. (2021) conducted a pilot randomized controlled trial ( $n = 50$ ) to compare outcomes of CRT with His-bundle pacing (His-CRT) and with biventricular pacing (BiV-CRT) in symptomatic heart failure patients with left bundle branch block (LBBB). All subjects received a CRT-P or CRT-D device and were implanted with a standard RV-pacing lead or ICD lead and a standard atrial lead. For the His-CRT group, the third lead was implanted in the bundle of His and His capture was confirmed. For the BiV-CRT group, the third lead was implanted via the coronary sinus. Seven subjects crossed over from His-pacing to LV-pacing in the His-CRT group due to high capture thresholds or inability to capture. One subject crossed over from LV-pacing to His-pacing in the BiV-CRT group due to dissection in the coronary sinus ostium. The study inclusion criteria were: age  $\geq 18$  years; LVEF  $\leq 35\%$ ; NYHA class II-IV; and LBBB by strict ECG/Strauss criteria. The exclusion criteria were: existing BiV pacing system; permanent atrial fibrillation; severe kidney disease; and acute myocardial infarct or coronary artery bypass graft within 3 months prior to study. Outcome measures included implant and capture success rate; procedure and radiation exposure; lead stability; complications; and improvements in QRS duration, LVEF and LV systolic volume, symptoms, NYHA class, walking distance, and N-terminal pro-B-type natriuretic peptide (NT-proBNP). Follow up was completed at six months post-procedure. Intention-to-treat implantation success rates were 72% for the His-CRT group and 96% for the BiV-CRT group. At six months, the His-CRT group maintained His bundle capture and LBBB correction. Procedure time was significantly longer for subjects in the His-CRT group versus the BiV-CRT group ( $137 \pm 46$  min vs.  $102 \pm 34$  min;  $p < 0.01$ ). Lead thresholds were significantly higher in the His-CRT group compared with the BiV-CRT group at implantation ( $1.8 \pm 1.4$  V vs  $1.2 \pm 0.8$  V;  $p < 0.05$ ) and at six month follow up ( $2.3 \pm 1.4$  V vs.  $1.4 \pm 0.5$  V;  $p < 0.01$ ). From baseline to six months, both groups

showed significant improvement in QRS duration, LVEF, LVESV, NT-proBNP, six-minute walk distance, and NYHA functional class ( $p < 0.05$ ), with no statistically significant between-group differences. One subject in the BiV-CRT group had dislodgement of the LV-lead and underwent replacement. One BiV-CRT subject had fever and positive blood cultures three weeks post-procedure and underwent device removal and reimplantation. The authors noted that this study was designed as a pilot study to provide estimates of crossover between groups and differences in various parameters to be used in future studies. A core laboratory for assessment of TTE parameters was not used. Further limitations of the study included the small sample size, short duration of follow up, and overrepresentation of subjects with nonischemic cardiomyopathy, which may limit generalizability of findings.

Upadhyay et al. (2019a) published an on-treatment analysis of the His-SYNC pilot study randomized controlled trial ( $n=41$ ), which aimed to assess the feasibility and efficacy of His bundle pacing cardiac resynchronization therapy (His-CRT) compared to biventricular pacing (BiV-CRT). Subjects had a diagnosis of heart failure, were 18 years or older, and met American College of Cardiology Foundation (ACCF)/American Heart Association (AHA)/Heart Rhythm Society (HRS) class I or II guideline indications for CRT. Excluded from the study were persons with an existing CRT device or who were pregnant. Most participants ( $n=35$ ) had LBBB, and 33% had atrial fibrillation. His-CRT was performed utilizing the Medtronic SelectSecure Model 3830 lead. The BiV-CRT group underwent standard lead placements for CRT. Intraprocedural group crossover for patients randomized to His-CRT was required if the paced QRS width did not narrow by at least 20% or to a QRS width of  $\leq 130$  ms, or if placement of the HBP lead could not be performed with sufficient stability or pacing output. Similarly, crossover for patients randomized to the BiV-CRT group occurred when an LV lead could not be placed, or when diaphragmatic stimulation occurred due to phrenic nerve capture. Ultimately, crossover occurred in 48% of patients assigned to the His-CRT group, and 26% of patients in the BiV-CRT arm; a total of 16 subjects received His-CRT. The His-CRT group demonstrated a significant decrease in QRS duration ( $p < 0.001$ ) and significant increase in LVEF ( $p < 0.001$ ) at six months. Limitations of the study include the high rates of intraprocedural crossover, small study population, and short term follow up. Additional well-designed randomized controlled trials with large patient populations and long term follow-up are needed to support the clinical effectiveness of His bundle pacing CRT.

Qian et al. (2019) conducted a systematic review and meta-analysis to evaluate the efficacy of HBP in patients with heart failure and LV dyssynchrony. The successful rate of implantation, QRS duration, pacing threshold, LV function at baseline and follow-up, and mortality rates were extracted and summarized. Eleven studies including 494 patients were included in this analysis. The average age of the patients was 71.9 years and 63.2% of patients were male. Patients with ischemic etiology accounted for 32.8% of the population. Four studies reported 173 patients with atrial fibrillation (AF) and cardiomyopathy undergoing atrioventricular (AV) node ablation. The other seven studies focused on CRT candidates including de novo implantation, CRT nonresponders, patients with pacing-induced cardiomyopathy, and failed LV lead placement. The overall successful rate for implantation was 82.4%. The main indications for HBP were CRT candidates and cardiomyopathy with atrial fibrillation undergoing atrioventricular node ablation. Permanent HBP resulted in narrow QRS duration of  $116.3 \pm 13.9$  ms after implantation. LV functions, including echocardiographic parameters and clinical outcomes, significantly improved at follow-up ( $p < 0.001$ ). However, there was a trend of increased capture and bundle branch block correction thresholds at follow-up compared to baseline ( $p=0.01$  and  $0.02$ , respectively). During a mean follow-up of 23.7 months, 5.9% of the patients experienced heart failure-related hospitalization and the mortality rate was 9.1%. The authors reported limitations of this meta-analysis include the limited sample size and most of the studies were cohort studies with inherent limitations that reduced the internal validity compared to randomized controlled trials. There was limited data on the effect size of HBP on outcomes as the studies included were observational and did not all have comparative arms. Next, some data, including pacing pulse width and follow-up

time, were variable and inconsistent, which may influence the study uniformity. In addition, there was no uniformity in measuring QRS durations with selective and nonselective HBP. The authors concluded that although HBP has shown promising results in small and nonrandomized studies in several clinical situations, long-term safety and pacing threshold are needed.

Ali et al. (2018) concluded in a report on HBP that there was limited published data available for His pacing in any clinical setting. Although pacing thresholds for His pacing in bradycardia appear to be stable, there is limited long-term follow-up data available. When His pacing is used to deliver ventricular resynchronization in patients with bundle branch block, the pacing thresholds can be relatively high, though comparable to left ventricular pacing thresholds. This has potential implications on battery longevity, though pacing is only required via a single lead (compared to biventricular pacing). Success rates for His lead implantation have been as low as 60% without dedicated tools and experience. Success rates have improved with the development of dedicated tools; however, the range of tools currently available are still limited, and these could be further improved. Adequately powered randomized control trials are required to investigate whether the theoretical advantages of physiological ventricular activation are achieved with His pacing and if the encouraging results in observational studies translate into clinical benefit (Ali, et al., 2018).

Ezzeddine et al. (2018) reported that certain problems unique to HBP are faced with conventional active fixation pacing leads, including a higher pacing threshold owing to the fibrous structure of the His bundle and due to current limitations in lead design and delivery. In addition, higher pacing thresholds can lead to increased battery drain and shorter battery longevity compared with traditional RV pacing. Other limitations of permanent HBP include inability to perform lead implantation in 10%-20% of patients, particularly in patients with dilated and remodeled atria or other structural heart disease, which makes mapping of the His bundle and delivery of the lead difficult. Ventricular undersensing, atrial oversensing on the ventricular channel, and atrial capture can also occur and need to be carefully avoided or excluded at the time of implantation. Long-term randomized safety and efficacy data are needed.

### **Professional Societies/Organizations**

**American Heart Association (AHA)/American College of Cardiology (ACC)/Heart Failure Society of America (HFSA) Guideline for the Management of Heart Failure:** The updated AHA/ACC/HFSA guidelines for the management of heart failure (HF) were published in 2022. To develop the guidelines, the committee used evidence-based methodologies to assign each recommendation a Class of Recommendation and a Level of Evidence:

#### Class (Strength) of Recommendation

- Class 1 (Strong)  
Benefit >>> Risk  
Intervention is recommended; is indicated/useful/effective/beneficial
- Class 2a (Moderate)  
Benefit >> Risk  
Intervention is reasonable; can be useful/effective/beneficial
- Class 2b (Weak)  
Benefit ≥ Risk  
Intervention may be reasonable; may be considered; its usefulness/ effectiveness is unknown/unclear/uncertain or not well-established
- Class 3: No Benefit (Moderate)  
Benefit = Risk  
Intervention is not recommended/indicated/useful/effective/beneficial; it should not be performed/ administered
- Class 3: Harm (Strong)  
Risk > Benefit



Intervention is potentially harmful; causes harm; is associated with excess morbidity/mortality; should not be performed/administered

#### Level of Evidence (LOE)

- Level A
  - High-quality evidence from more than one RCT
  - Meta-analyses of high-quality RCTs
  - One or more RCTs corroborated by high-quality registry studies
- Level B-R (Randomized)
  - Moderate-quality evidence from one or more RCTs
  - Meta-analyses of moderate-quality RCTs
- Level B-NR (Nonrandomized)
  - Moderate-quality evidence from one or more well-designed, well-executed nonrandomized studies, observational studies, or registry studies
  - Meta-analyses of such studies
- Level C-LD (Limited Data)
  - Randomized or nonrandomized observational or registry studies with design or execution limitations
  - Meta-analyses of such studies
  - Physiological or mechanistic studies in human subjects
- Level C-EO (Expert Opinion)
  - Consensus of expert opinion based on clinical experience

To reduce total mortality and hospitalizations, and improve symptoms and quality of life (QOL) in patients with heart failure with reduced ejection fraction (HFrEF), the committee made the following recommendations concerning cardiac resynchronization therapy (CRT) (Heidenreich, et al, 2022):

- Strong recommendation:
  - For individuals with left ventricular ejection fraction (LVEF)  $\leq$  35%, sinus rhythm, left bundle branch block (LBBB) with a QRS duration  $\geq$  150 ms, and New York Heart Association (NYHA) class II, III, or ambulatory IV symptoms on guideline-directed medical therapy (GDMT), CRT is indicated (Class of Recommendation: 1; Level of Evidence: B-R)
- Moderate recommendation:
  - For individuals with LVEF  $\leq$  35%, sinus rhythm, non-LBBB pattern with a QRS duration  $\geq$  150 ms, and NYHA class II, III, or ambulatory class IV symptoms on GDMT, CRT can be useful (Class of Recommendation: 2a; Level of Evidence: B-R)
  - For individuals with high-degree or complete heart block and LVEF of 36% to 50%, CRT is reasonable (Class of Recommendation: 2a; Level of Evidence: B-R)
  - For individuals with LVEF  $\leq$  35%, sinus rhythm, LBBB with a QRS duration of 120 to 149 ms, and NYHA class II, III, or ambulatory IV symptoms on GDMT, CRT can be useful (Class of Recommendation: 2a; Level of Evidence: B-NR)
  - For individuals with atrial fibrillation (AF) and LVEF  $\leq$  35% on GDMT, CRT can be useful if: a) the patient requires ventricular pacing or otherwise meets CRT criteria and b) atrioventricular nodal ablation or pharmacological rate control will allow near 100% ventricular pacing with CRT (Class of Recommendation: 2a; Level of Evidence: B-NR)
  - For individuals with LVEF  $\leq$  35% on GDMT and undergoing placement of a new or replacement device implantation with anticipated requirement for significant ( $>$  40%) ventricular pacing, CRT can be useful (Class of Recommendation: 2a; Level of Evidence: B-NR)

- Weak recommendation:
  - For individuals with LVEF  $\leq$  35%, sinus rhythm, a non-LBBB pattern with QRS duration of 120 to 149 ms, and NYHA class III or ambulatory class IV on GDMT, CRT may be considered (Class of Recommendation: 2b; Level of Evidence: B-NR)
  - For individuals with LVEF  $\leq$  30%, ischemic cause of HF, sinus rhythm, LBBB with a QRS duration  $\geq$  150 ms, and NYHA class I symptoms on GDMT, CRT may be considered (Class of Recommendation: 2b; Level of Evidence: B-NR)
- Not recommended:
  - For individuals with QRS duration  $<$ 120 ms, CRT is not recommended (Class of Recommendation: 3 – no benefit; Level of Evidence: B-R)
  - For individuals with NYHA class I or II symptoms and non-LBBB pattern with QRS duration  $<$  150 ms, CRT is not recommended (Class of Recommendation: 3 – no benefit; Level of Evidence: B-NR)
  - For individuals whose comorbidities or frailty limit survival with good functional capacity to  $<$  1 year, ICD and cardiac resynchronization therapy with defibrillation (CRT-D) are not indicated (Class of Recommendation: 3 – no benefit; Level of Evidence: C-LD)

**Heart Rhythm Society (HRS)/Asia Pacific Heart Rhythm Society (APHRS)/Latin American Heart Rhythm Society (LAHRS):** In 2023 these societies published a clinical practice guideline on cardiac physiologic pacing for the avoidance and mitigation of heart failure. The guideline defined cardiac physiologic pacing (CPP) as “as any form of cardiac pacing intended to restore or preserve synchrony of ventricular contraction”, achieved via conduction system pacing (CSP; including His bundle pacing [HBP] and left bundle branch area pacing [LBBAP]), or CRT via biventricular (BiV) pacing using a coronary sinus branch or epicardial LV pacing lead. Scientific evidence was systematically reviewed and interpreted to develop several recommendations, which were then assigned a class of recommendation and a level of evidence using the ACC/AHA recommendation system described above. Among the highest-rated recommendations were the following:

Class of Recommendation: 1; Level of Evidence: A

- In patients with LVEF  $\leq$  35%, sinus rhythm, LBBB with QRS duration  $\geq$  150 ms, and NYHA class II–IV symptoms on guideline-directed medical therapy (GDMT), CRT with BiV pacing is indicated to improve symptoms and reduce morbidity and mortality.
- In patients with select characteristics (e.g., female) who have LVEF  $\leq$  35%, sinus rhythm, LBBB with QRS duration 120–149 ms, and NYHA class II–IV symptoms on GDMT, CRT with BiV pacing is recommended to reduce mortality and HF events and to improve LVEF.

Class of Recommendation: 1; Level of Evidence: B-R

- In patients undergoing CRT implant, a quadripolar LV lead is recommended to assist with lead stability, lower capture thresholds, avoid phrenic nerve pacing, and decrease need for lead repositioning.

Class of Recommendation: 2a; Level of Evidence: A

- In patients who have LVEF  $\leq$  35%, sinus rhythm, a non-LBBB pattern with QRS duration  $\geq$  150 ms, and NYHA class III or ambulatory class IV symptoms on GDMT, CRT with BiV pacing can be useful to improve functional class, cardiac structure, and LVEF.

Class of Recommendation: 2a; Level of Evidence: B-R

- In patients with LVEF  $\leq$  35%, sinus rhythm, LBBB with QRS duration 120–149 ms, and NYHA class II–IV symptoms on GDMT, CRT with BiV pacing is reasonable to reduce mortality and HF and to improve LVEF.
- In patients with an indication for permanent pacing with an LVEF 36%–50% who are anticipated to require substantial ventricular pacing, CPP is reasonable to reduce the risk of pacing-induced cardiomyopathy (Note: Level of Evidence B-R is for CRT, and B-NR for HBP, LBBAP).
- In patients with an indication for permanent pacing with LVEF  $>$ 35% who are anticipated to require less than substantial ventricular pacing, it is reasonable to choose a traditional RV lead placement and minimize right ventricular pacing.
- In patients with atrial fibrillation undergoing atrioventricular junction ablation with LVEF  $\leq$  50%, CRT with BiV pacing is reasonable to improve heart failure hospitalization, reverse structural remodeling, and improve quality of life, exercise capacity, LVEF, and potentially mortality.

Regarding the evidence to support the use of biventricular pacing/CRT versus conduction system pacing (CSP), the authors noted “The strength of evidence for CRT in heart failure (HF) is substantially greater than what is available to support CSP. Multiple randomized controlled trials have shown a beneficial effect of CRT in reducing HF symptoms and hospitalization, improving left ventricular function, and increasing survival. The majority of data on CSP are observational, and long-term data on lead survival are lacking. Ongoing and planned studies are likely to provide future guidance on the use of CSP compared to CRT” (Chung, et al., 2023).

**American Heart Association (AHA)/American College of Cardiology (ACC) Guideline for the Diagnosis and Treatment of Patients With Hypertrophic Cardiomyopathy:** The 2020 AHA/ACC clinical practice guideline for the care of patients with hypertrophic cardiomyopathy (HCM) included the recommendation that cardiac resynchronization therapy (CRT) for symptom reduction is reasonable in selected adult patients with nonobstructive HCM receiving an ICD who have NYHA class II to ambulatory class IV HF, left bundle branch block (LBBB), and LV ejection fraction (LVEF)  $<$  50% (Class of Recommendation: 2a; Level of Evidence: C-LD) (Ommen, et al., 2020).

**American College of Cardiology (ACC)/American Heart Association (AHA)/Heart Rhythm Society (HRS) 2018 Guideline on the Evaluation and Management of Patients With Bradycardia and Cardiac Conduction Delay:** The 2018 ACC/AHA/HRS document makes the following recommendations for permanent pacing techniques in persons with atrioventricular block:

- In patients with atrioventricular block who have an indication for permanent pacing with a LVEF between 36% and 50% and are expected to require ventricular pacing more than 40% of the time, it is reasonable to choose pacing methods that maintain physiologic ventricular activation (e.g., cardiac resynchronization therapy [CRT] or His bundle pacing) over right ventricular pacing (Class of Recommendation: 2a; Level of Evidence: B-R)
- In patients with atrioventricular block who have an indication for permanent pacing with a LVEF between 36% and 50% and are expected to require ventricular pacing less than 40% of the time, it is reasonable to choose right ventricular pacing over pacing methods that maintain physiologic ventricular activation (e.g., CRT or His bundle pacing) (Class of Recommendation: 2a; Level of Evidence: B-R)
- In patients with atrioventricular block at the level of the atrioventricular node who have an indication for permanent pacing, His bundle pacing may be considered to maintain physiologic ventricular activation (Class of Recommendation: 2b; Level of Evidence: B-R)

The guideline described His bundle pacing as a “promising pacing option”. Supportive evidence consisted of small nonrandomized studies. The authors noted that “more studies are needed to better characterize His bundle pacing and compare it to RV and CRT pacing in atrioventricular block patients”.

**American College of Cardiology Foundation (ACCF)/Heart Rhythm Society (HRS)/American Heart Association (AHA)/American Society of Echocardiography (ASE)/Heart Failure Society of America (HFSA)/Society for Cardiovascular Angiography and Interventions (SCAI)/Society of Cardiovascular Computed Tomography (SCCT)/Society for Cardiovascular Magnetic Resonance (SCMR) 2013 Appropriate Use Criteria for Implantable Cardioverter-Defibrillators and Cardiac Resynchronization Therapy:**

The 2013 ACCF/HRS/AHA/ASE/HFSA/SCAI/SCCT/SCMR document addresses the appropriate use of implantable cardioverter-defibrillators (ICDs) and cardiac resynchronization therapy (CRT) for selected patient populations (Russo, et al., 2013). The authors state that the appropriate use criteria should be used in conjunction with the ACC/AHA/HRS 2008 Guidelines for Device-Based Therapy of Cardiac Rhythm Abnormalities and the 2012 focused update (Epstein, et al., 2013; Epstein, et al., 2008).

The indications for ICD and CRT were developed by a multidisciplinary writing team and scored by a separate independent technical panel. The final score reflects the median score of the 17 technical panel members and has been labeled according to the categories of Appropriate (median 7 to 9), May Be Appropriate (median 4 to 6), and Rarely Appropriate (median 1 to 3). The authors state that “The relationship of these criteria to existing guidelines was provided to the technical panel. In addition, extensive links to clinical trials and other literature regarding the role of ICD and CRT in each clinical scenario were provided to technical panel members. This document represents the current understanding of the clinical utility of ICD and CRT implantation in clinical practice as measured by physicians with a variety of backgrounds and areas of expertise. It is the goal that these criteria will help provide a guide to inform medical decisions and help clinicians and stakeholders understand areas of consensus as well as uncertainty, while identifying areas where there are gaps in knowledge that warrant additional investigation” (Russo, et al., 2013).

The authors also state that, “Atrial arrhythmias (including atrial fibrillation, atrial flutter, and atrial tachycardia) are not included in the indication tables. There are fewer data available for CRT in patients with persistent atrial arrhythmias, and the writing group elected to avoid additional scenarios for practical reasons, as the document already includes a large number of scenarios. However, it is assumed that the presence of intermittent or persistent atrial arrhythmias would not preclude CRT implantation, and the benefits of CRT would also apply to patients with persistent atrial arrhythmias, as long as CRT is maintained nearly 100% of the time” (Russo, et al., 2013).

Ambulatory class IV is defined as class IV heart failure with: 1) no active acute coronary syndrome; 2) no inotropes; and 3) on guideline-direct medical therapy (GDMT). A normal LVEF is defined as  $\geq 50\%$ . The authors stated that, “GDMT for heart failure in the setting of LV systolic dysfunction requires individualization but typically should include the combination of an angiotensin-converting enzyme inhibitor or angiotensin receptor blocker and beta blocker therapy adjusted to target doses as tolerated, with diuretics adjusted if/as needed to control fluid retention. In selected patients, the addition of aldosterone antagonists and hydralazine plus nitrate combinations should be considered. Patients who are going to receive substantial benefit from medical treatment alone usually show some clinical improvement during the first 3 to 6 months. Medical therapy is also assumed to include adequate rate control for tachyarrhythmias, including atrial fibrillation. Therefore, it is recommended that GDMT be provided for at least 3 months before planned reassessment of LV function to consider device implantation. If LV function improves to the point where primary prevention indications no longer apply, then device implantation is not indicated” (Russo, et al., 2013).

Recommendations are provided based on the following scoring method:

- **Median score 7-9: Appropriate care:** An appropriate option for management of patients in this population due to benefits generally outweighing risks; effective option for individual care plans, although not always necessary, depending on physician judgment and patient-specific preferences (i.e., procedure is generally acceptable and is generally reasonable for the indication).
- **Median score 4-6: May be appropriate for care:** At times an appropriate option for management of patients in this population due to variable evidence or agreement regarding the benefit/risk ratio, potential benefit based on practice experience in the absence of evidence, and/or variability in the population; effectiveness for individual care must be determined by a patient's physician in consultation with the patient based on additional clinical variables and judgment along with patient preferences (i.e., procedure may be acceptable and may be reasonable for the indication).
- **Median score 1-3: Rarely appropriate care:** Rarely an appropriate option for management of patients in this population due to the lack of a clear benefit/risk advantage; rarely an effective option for individual care plans; exceptions should have documentation of the clinical reasons for proceeding with this care option (i.e., procedure is not generally acceptable and is not generally reasonable for the indication).

Generally, criteria that have been deemed Appropriate or May Be Appropriate in these scenarios are supported by a critical mass of existing data, or were deemed by the technical panel to meet sufficient clinical judgment to be reasonable and appropriate.

Indications rated as Appropriate or May be Appropriate are detailed below; indications rated as Rarely Appropriate (median score 1-3) are outlined in the appropriate use criteria document described above.

**The following indications were rated as Appropriate Care (median score 7-9):**

Ischemic cardiomyopathy, left ventricular ejection fraction (LVEF)  $\leq$  30%, no prior implant, sinus rhythm (SR) for ANY of the following:

- QRS 120-149 milliseconds (ms), left bundle branch block (LBBB), New York Heart Association (NYHA) Class II (7), III-IV (8)
- QRS  $\geq$  150 ms, LBBB, NYHA Class I (7) II (8), III-IV (9)
- QRS  $\geq$  150 ms, non-LBBB, NYHA Class III-IV (7)

Ischemic cardiomyopathy, LVEF 31-35%, no prior implant, SR for ANY of the following:

- QRS 120-149 ms, LBBB, NYHA Class II (7), III-IV (8)
- QRS  $\geq$  150 ms, LBBB, NYHA Class II (8), III-IV (9)
- QRS  $\geq$  150 ms, non-LBBB, NYHA Class III-IV (7)

Nonischemic cardiomyopathy, LVEF  $\leq$  30%, no prior implant, SR for ANY of the following:

- QRS 120-149 ms, LBBB, NYHA Class II (7), III-IV (8)
- QRS  $\geq$  150 ms, LBBB, NYHA Class II (9), III-IV (9)
- QRS  $\geq$  150 ms, non-LBBB, NYHA Class III-IV (8)

Nonischemic cardiomyopathy, LVEF 31-35%, no prior implant, SR for ANY of the following:

- QRS 120-149 ms, LBBB, NYHA Class II (7), III-IV (8)
- QRS  $\geq$  150 ms, LBBB, NYHA Class II (8), III-IV (9)
- QRS  $\geq$  150 ms, non-LBBB, NYHA Class III-IV (7)

Pre-Existing or anticipated right ventricular (RV) pacing with a clinical indication for ICD or pacemaker implantation, intrinsic narrow QRS, LVEF  $\leq$  35% when RV pacing anticipated is  $>$  40%, NYHA Class I-II (7), III-IV (8).

Refractory Class III/IV heart failure  $<$  3 months post revascularization and/or  $\leq$  40 days post-myocardial infarction (MI), no other indication for ventricular pacing, LVEF  $\leq$  35% for ANY of the following:

- QRS 120-149 ms, LBBB (7)
- QRS  $\geq$  150 ms, LBBB (8)
- QRS  $\geq$  150 ms, non-LBBB (7)

**The following indications were rated as May Be Appropriate for Care (median score 4-6):**

Ischemic cardiomyopathy, LVEF  $\leq$  30%, no prior implant, SR for ANY of the following:

- QRS 120-149 ms, LBBB, NYHA Class I (5)
- QRS 120-149 ms, non-LBBB, NYHA Class III-amb. IV (6)
- QRS  $\geq$  150 ms, non-LBBB, NYHA Class I (4), II (6)

Ischemic cardiomyopathy, LVEF 31-35%, no prior implant, SR for ANY of the following:

- QRS 120-149 ms, LBBB, NYHA Class I (5)
- QRS  $\geq$  150 ms, LBBB, NYHA Class I (6)
- QRS 120-149 ms, non-LBBB, NYHA Class III-IV (6)
- QRS  $\geq$  150 ms, non-LBBB, NYHA Class I (4), Class II (6)

Nonischemic cardiomyopathy, LVEF  $\leq$  30%, no prior implant, SR for ANY of the following:

- QRS 120-149 ms, LBBB, NYHA Class I (4)
- QRS  $\geq$  150 ms, LBBB, NYHA Class I (6)
- QRS 120-149 ms, non-LBBB, NYHA Class III-IV (6)
- QRS  $\geq$  150 ms, non-LBBB, NYHA Class I (5), II (6)

Nonischemic cardiomyopathy, LVEF 31-35%, no prior implant, SR for ANY of the following:

- QRS 120-149 ms, LBBB, NYHA Class I (5)
- QRS  $\geq$  150 ms, LBBB, NYHA Class I (6)
- QRS 120-149 ms, non-LBBB, NYHA Class III-IV (6)
- QRS  $\geq$  150 ms, non-LBBB, NYHA Class I (5), II (6)

LVEF  $>$  35% of any etiology (ICD Indicated), no prior implant, SR:

- QRS 120-149 ms, LBBB, NYHA Class III-IV (4)
- QRS  $\geq$  150 ms, LBBB, NYHA Class I-II (4), III-IV (5)
- QRS  $\geq$  150 ms, non-LBBB, NYHA Class III-IV (4)

LVEF  $\leq$  35% of any etiology (NYHA Class IV on Intravenous Inotropic Support), no prior implant:

- QRS 120-149 ms, LBBB (6) or non-LBBB (4)
- QRS  $\geq$  150 ms, LBBB (6) or non-LBBB (5)

Pre-Existing or anticipated RV pacing with a clinical indication for ICD or pacemaker implantation-intrinsic narrow QRS:

- LVEF  $\leq$  35%, RV pacing anticipated  $\leq$  40%, NYHA Class I-II (4), III-amb. IV (5)
- LVEF  $>$  35%, RV pacing anticipated  $\leq$  40%, NYHA Class III-IV (4)
- LVEF  $>$  35%, RV pacing anticipated  $>$  40%, NYHA Class I-II (5), III-IV (6)

Refractory Class III/IV heart failure < 3 months post revascularization and/or ≤ 40 days post-MI, no other indication for ventricular pacing:

- LVEF ≤ 35%, QRS 120-149 ms, non-LBBB (5)
- LVEF 36-50%, QRS ≥ 150, LBBB (4)

**American College of Cardiology Foundation (ACCF), American Heart Association (AHA) and Heart Rhythm Society (HRS) Guideline for Device-Based Therapy for Cardiac Rhythm Abnormalities:** The 2012 ACCF/AHA/HRS focused update incorporated into the ACCF/AHA/HRS 2008 guidelines for device-based therapy of cardiac rhythm abnormalities addresses recommendations for CRT (Epstein, et al., 2013). Guideline recommendations are classified as Class I, Class IIa, Class IIb, and Class III. The classification system is described as follows:

- Class I: Benefit >>> Risk; Procedure/Treatment should be performed/administered
- Class IIa: Benefit >> Risk; Additional studies with focused objectives needed. It is reasonable to perform procedure/administer treatment.
- Class IIb: Benefit ≥ Risk; Additional studies with broad objectives needed; additional registry data would be helpful. Procedure/treatment may be considered.
- Class III: No Benefit or Harm
  - Class of Recommendation (COR) III: No Benefit
    - Procedure/Test: not helpful
    - Treatment: no proven benefit
  - COR III: Harm
    - Procedure/Test: excess cost w/o benefit or harmful
    - Treatment: harmful to patients

The weight of evidence supporting each recommendation is classified as follows:

- Level A: Multiple populations evaluated. Data derived from multiple randomized clinical trials or meta-analyses.
- Level B: Limited populations evaluated. Data derived from a single randomized trial or nonrandomized studies.
- Level C: Very limited populations evaluated. Only consensus opinion of experts, case studies, or standard of care.

The updated guideline proposes several changes in recommendations for CRT, compared with the 2008 document. The most significant changes are limitation of the Class I indication to patients with QRS duration ≥150 ms; limitation of the Class I indication to patients with left bundle-branch block (LBBB) pattern; expansion of Class I indication to New York Heart Association (NYHA) class II (and with LBBB with QRS duration ≥150 ms); and the addition of a Class IIb recommendation for patients who have LVEF ≤30%, ischemic etiology of heart failure (HF), sinus rhythm, LBBB with a QRS duration ≥150 ms, and NYHA class I symptoms.

The following recommendations for CRT placement are included in the 2012 guideline:

#### Class I

- CRT is indicated for patients who have LVEF ≤ 35%, sinus rhythm, LBBB with a QRS duration ≥ 150 ms, and NYHA class II, III, or ambulatory IV symptoms on guideline directed medical therapy (GDMT) (Level of Evidence: A for NYHA class III/IV; Level of Evidence: B for NYHA class II).

#### Class IIa

- CRT can be useful for patients who have LVEF  $\leq$  35%, sinus rhythm, LBBB with a QRS duration 120 to 149 ms, and NYHA class II, III, or ambulatory IV symptoms on GDMT (Level of Evidence: B).
- CRT can be useful for patients who have LVEF  $\leq$  35%, sinus rhythm, a non-LBBB pattern with a QRS  $\geq$  150 ms, and NYHA class III/ambulatory class IV symptoms on GDMT (Level of Evidence: A).
- CRT can be useful in patients with atrial fibrillation and LVEF  $\leq$  35% on GDMT if the patient requires ventricular pacing or otherwise meets CRT criteria and b) AV nodal ablation or pharmacologic rate control will allow near 100% ventricular pacing with CRT (Level of Evidence: B).
- CRT can be useful for patients on GDMT who have LVEF  $\leq$  35% and are undergoing new or replacement device placement with anticipated requirement for significant (> 40%) ventricular pacing (Level of Evidence: C).

#### Class IIb

- CRT may be considered for patients who have LVEF  $\leq$  30%, ischemic etiology of heart failure, sinus rhythm, LBBB with a QRS duration of  $\geq$ 150 ms, and NYHA class I symptoms on GDMT (Level of Evidence: C).
- CRT may be considered for patients who have LVEF  $\leq$  35%, sinus rhythm, a non-LBBB pattern with QRS duration 120 to 149 ms, and NYHA class III/ambulatory class IV on GDMT (Level of Evidence: B).
- CRT may be considered for patients who have LVEF  $\leq$  35%, sinus rhythm, a non-LBBB pattern with a QRS duration  $\geq$  150 ms, and NYHA class II symptoms on GDMT (Level of Evidence: B).

#### Class III: No Benefit

- CRT is not recommended for patients with NYHA class I or II symptoms and non-LBBB pattern with QRS duration less than 150 ms (Level of Evidence: B).
- CRT is not indicated for patients whose comorbidities and/or frailty limit survival with good functional capacity to less than 1 year (Level of Evidence: C).

### **European Society of Cardiology (ESC) Guidelines on Cardiac Pacing and Cardiac Resynchronization Therapy:**

The updated 2021 ESC guidelines on cardiac pacing and CRT include expanded recommendations for CRT, and new sections which included alternative pacing strategies/sites (Glikson, et al., 2021). Guideline recommendations are classified as Class I, Class IIa, Class IIb, and Class III. The classification system is described as follows:

- Class I: Evidence and/or general agreement that a given treatment or procedure is beneficial, useful, effective.
- Class II: Conflicting evidence and/or a divergence of opinion about the usefulness/efficacy of the given treatment or procedure.
  - Class IIa: Weight of evidence/opinion is in favor of usefulness/efficacy.
  - Class IIb: Usefulness/efficacy is less well established by evidence/opinion.
- Class III: Evidence or general agreement that the given treatment or procedure is not useful/effective, and in some cases may be harmful.

The weight of evidence supporting each recommendation is classified as follows:

- Level of evidence A: Data derived from multiple randomized clinical trials or meta-analyses.
- Level of evidence B: Data derived from a single randomized clinical trial or large non-randomized clinical trials.
- Level of evidence C: Consensus of opinion of the experts and/or small studies, retrospective studies, registries.



Recommendations for CRT in patients in sinus rhythm (SR):

- CRT is recommended for symptomatic patients with heart failure (HF) with LVEF  $\leq$  35%, QRS duration  $\geq$  150 ms, and left bundle branch block (LBBB) QRS morphology despite optimal medical treatment (OMT) in order to improve symptoms and reduce morbidity and mortality (Class I, Level A)
- CRT should be considered for symptomatic patients with HF with LVEF  $\leq$  35%, QRS duration 130 – 149 ms, and LBBB QRS morphology despite OMT, in order to improve symptoms and reduce morbidity and mortality (Class IIa, Level B)
- CRT should be considered for symptomatic patients with HF LVEF  $\leq$  35%, QRS duration  $\geq$  150 ms, and non-LBBB QRS morphology despite OMT, in order to improve symptoms and reduce morbidity (Class IIa, Level B)
- CRT may be considered for symptomatic patients with HF with LVEF  $\leq$  35%, QRS duration 130 – 149 ms, and non-LBBB QRS morphology despite OMT, in order to improve symptoms and reduce morbidity (Class IIb, Level B)
- CRT is not indicated in patients with HF and QRS duration  $<$ 130 ms without an indication for RV pacing (Class III, Level A)

Recommendations for CRT in patients with persistent or permanent atrial fibrillation (AF):

- Patients with HF with permanent AF who are candidates for CRT:
  - CRT should be considered for patients with HF and LVEF  $\leq$  35% in NYHA class III or IV despite OMT if they are in AF and have intrinsic QRS  $\geq$  130 ms, provided a strategy to ensure biventricular capture is in place, in order to improve symptoms and reduce morbidity and mortality (Class IIa, Level C)
  - Atrioventricular junction (AVJ) ablation should be added in the case of incomplete biventricular pacing ( $<$ 90 – 95%) due to conducted AF (Class IIa, Level B)
- Patients with symptomatic AF and an uncontrolled heart rate who are candidates for AVJ ablation (irrespective of QRS duration):
  - CRT is recommended in patients with HF with reduced ejection fraction ( $<$ 40%) (Class I, Level B)
  - CRT rather than standard right ventricular (RV) pacing should be considered in patients with HF with mildly reduced ejection fraction (40 - 49%) (Class IIa, Level C)
  - RV pacing should be considered in patients with HF with preserved ejection fraction ( $\geq$  50%) (Class IIa, Level B)
  - CRT may be considered in patients with HF with preserved ejection fraction ( $\geq$  50%) (Class IIb, Level C)

Recommendation for upgrade from RV pacing to CRT:

- Patients who have received a conventional pacemaker or an ICD and who subsequently develop symptomatic HF with LVEF  $\leq$  35% despite OMT, and who have a significant proportion of RV pacing, should be considered for upgrade to CRT (Class IIa, Level B)

Recommendation for patients with HF and atrioventricular block (AVB):

- CRT rather than RV pacing is recommended for patients with HF with reduced ejection fraction ( $<$ 40%) regardless of NYHA class who have an indication for ventricular pacing and high-degree AVB in order to reduce morbidity. This includes patients with AF (Class I, Level A)

The document also acknowledges the growing interest in His bundle pacing and left bundle branch area pacing, however the authors note that large RCTs and long-term follow up are still lacking (Glikson, et al., 2021).

**National Institute for Health and Care Excellence (NICE):** In 2021, NICE published procedural guidance for the use of permanent His-bundle pacemakers to treat heart failure. The recommendation asserted that permanent His-bundle pacemaker implantation should only be used in a research context, as safety and efficacy data was inadequate in quality and quantity. The guidance further noted that the procedure was technically challenging, and should only be done in specialty centers with experience in cardiac pacing (NICE, 2021).

In 2014, NICE published guidance on the use of implantable cardioverter defibrillators and cardiac resynchronization therapy (CRT-P and CRT-D devices) for arrhythmias and heart failure. The authoring committee made the following recommendations for CRT treatment options in persons with heart failure who have left ventricular dysfunction, and an EF  $\leq$  35%:

- QRS < 120 milliseconds (ms): CRT not indicated
- QRS 120 – 149 ms
  - Without left bundle branch block (LBBB)
    - NYHA Class I – III: CRT not indicated
    - NYHA Class IV: CRT-P
  - With LBBB
    - NYHA Class I: CRT not indicated
    - NYHA Class II: CRT-D
    - NYHA Class III: CRT-P or CRT-D
    - NYHA Class IV: CRT-P
- QRS  $\geq$  150 ms
  - With or without LBBB
    - NYHA Class I and II: CRT-D
    - NYHA Class III: CRT-P or CRT-D
    - NYHA Class IV: CRT-P

## Medicare Coverage Determinations

	<b>Contractor</b>	<b>Determination Name/Number</b>	<b>Revision Effective Date</b>
NCD	National	No Determination found	
LCD	Palmetto GBA	Cardiac Resynchronization Therapy (CRT) (L39080)	12/12/2021

Note: Please review the current Medicare Policy for the most up-to-date information. (NCD = National Coverage Determination; LCD = Local Coverage Determination)

## Coding Information

**Notes:**

1. This list of codes may not be all-inclusive since the American Medical Association (AMA) and Centers for Medicare & Medicaid Services (CMS) code updates may occur more frequently than policy updates.
2. Deleted codes and codes which are not effective at the time the service is rendered may not be eligible for reimbursement.

**Considered Medically Necessary when used to report the insertion or replacement of a biventricular pacemaker alone or when combined with an implantable cardioverter defibrillator (ICD) and/or leads:**

<b>CPT®*</b> <b>Codes</b>	<b>Description</b>
33202	Insertion of epicardial electrode(s); open incision (eg, thoracotomy, median sternotomy, subxiphoid approach)
33203	Insertion of epicardial electrode(s); endoscopic approach (eg, thoracoscopy, pericardioscopy)
33208	Insertion of new or replacement of permanent pacemaker with transvenous electrode(s); atrial and ventricular
33211	Insertion or replacement of temporary transvenous dual chamber pacing electrodes (separate procedure)
33213	Insertion of pacemaker pulse generator only; with existing dual leads
33214	Upgrade of implanted pacemaker system, conversion of single chamber system to dual chamber system (includes removal of previously placed pulse generator, testing of existing lead, insertion of new lead, insertion of new pulse generator)
33217	Insertion of 2 transvenous electrodes, permanent pacemaker or implantable defibrillator
33221	Insertion of pacemaker pulse generator only; with existing multiple leads
33222	Relocation of skin pocket for pacemaker
33223	Relocation of skin pocket for implantable defibrillator
33224	Insertion of pacing electrode, cardiac venous system, for left ventricular pacing, with attachment to previously placed pacemaker or implantable defibrillator pulse generator (including revision of pocket, removal, insertion, and/or replacement of existing generator)
33225	Insertion of pacing electrode, cardiac venous system, for left ventricular pacing, at time of insertion of implantable defibrillator or pacemaker pulse generator (eg, for upgrade to dual chamber system) (List separately in addition to code for primary procedure)
33226	Repositioning of previously implanted cardiac venous system (left ventricular) electrode (including removal, insertion and/or replacement of existing generator)
33228	Removal of permanent pacemaker pulse generator with replacement of pacemaker pulse generator; dual lead system
33229	Removal of permanent pacemaker pulse generator with replacement of pacemaker pulse generator; multiple lead system
33249	Insertion or replacement of permanent implantable defibrillator system, with transvenous lead(s), single or dual chamber
33263	Removal of implantable defibrillator pulse generator with replacement of implantable defibrillator pulse generator; dual lead system
33264	Removal of implantable defibrillator pulse generator with replacement of implantable defibrillator pulse generator; multiple lead system

<b>HCPCS</b> <b>Codes</b>	<b>Description</b>
C1721	Cardioverter-defibrillator, dual chamber (implantable)
C1722	Cardioverter-defibrillator, single chamber (implantable)
C1777	Lead, cardioverter-defibrillator, endocardial single coil (implantable)
C1779	Lead, pacemaker, transvenous VDD single pass
C1785	Pacemaker, dual chamber, rate-responsive (implantable)
C1786	Pacemaker, single chamber, rate-responsive (implantable)
C1882	Cardioverter-defibrillator, other than single or dual chamber (implantable)
C1895	Lead, cardioverter-defibrillator, endocardial dual coil (implantable)
C1896	Lead, cardioverter-defibrillator, other than endocardial single or dual coil (implantable)

<b>HCPCS Codes</b>	<b>Description</b>
C1898	Lead, pacemaker, other than transvenous VDD single pass
C1899	Lead, pacemaker/cardioverter-defibrillator combination (implantable)
C1900	Lead, left ventricular coronary venous system
C2619	Pacemaker, dual chamber, non rate-responsive (implantable)
C2620	Pacemaker, single chamber, non rate-responsive (implantable)
C2621	Pacemaker, other than single or dual chamber (implantable)

**Considered Experimental/Investigational/Unproven:**

<b>CPT®* Codes</b>	<b>Description</b>
33999 <sup>†</sup>	Unlisted procedure, cardiac surgery
0515T	Insertion of wireless cardiac stimulator for left ventricular pacing, including device interrogation and programming, and imaging supervision and interpretation, when performed; complete system (includes electrode and generator [transmitter and battery])
0516T	Insertion of wireless cardiac stimulator for left ventricular pacing, including device interrogation and programming, and imaging supervision and interpretation, when performed; electrode only
0517T	Insertion of wireless cardiac stimulator for left ventricular pacing, including device interrogation and programming, and imaging supervision and interpretation, when performed; both components of pulse generator (battery and transmitter) only
0518T	Removal of pulse generator for wireless cardiac stimulator for left ventricular pacing; battery component only
0519T	Removal and replacement of pulse generator for wireless cardiac stimulator for left ventricular pacing, including device interrogation and programming; both components (battery and transmitter)
0520T	Removal and replacement of pulse generator for wireless cardiac stimulator for left ventricular pacing; pulse generator battery component only
0521T	Interrogation device evaluation (in person) with analysis, review and report, includes connection, recording, and disconnection per patient encounter, wireless cardiac stimulator for left ventricular pacing
0522T	Programming device evaluation (in person) with iterative adjustment of the implantable device to test the function of the device and select optimal permanent programmed values with analysis, including review and report, wireless cardiac stimulator for left ventricular pacing
0861T	Removal of pulse generator for wireless cardiac stimulator for left ventricular pacing; both components (battery and transmitter)
0862T	Relocation of pulse generator for wireless cardiac stimulator for left ventricular pacing, including device interrogation and programming; battery component only
0863T	Relocation of pulse generator for wireless cardiac stimulator for left ventricular pacing, including device interrogation and programming; transmitter component only

**†Note: Considered Experimental/Investigational/Unproven when used to report conduction system pacing for any indication**

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## Revision Details

Type of Revision	Summary of Changes	Date
Focused review	<ul style="list-style-type: none"> <li>Remove leadless pacemaker from policy statement.</li> </ul>	9/1/2024
Annual review	<ul style="list-style-type: none"> <li>Revised statement for biventricular pacemaker for all other indications.</li> <li>Removed policy statement for body surface potential mapping.</li> </ul>	1/15/2024

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